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13. ABSTRACT (Maximum 200 words) Impurity ion-doped dielectric crystals have extensive applications in lasers, photodetectors, nonlinear crystals, displays, and scintillators. The performance of these devices is intrinsically correlated with their ability to transfer energy from the photoexcited impurity ion into the surrounding host. We have experimentally shown the importance of exact energy resonance between phonon and local modes in the decay pathway route of the nonradiative relaxation processes. Resonance Raman scattering and up-converted hot luminescence measurements performed in Cr <sup>4+</sup> :Ca <sub>2</sub> GeO <sub>4</sub> have shown that there is only one phonon-local mode pair at 733 cm <sup>-1</sup> whose exact energy resonance provides the decay channel during the initial steps of the nonradiative relaxation of the photoexcited impurity ion. By understanding these nonradiative decay mechanisms, we have developed criteria for laser crystals with reduced nonradiative relaxation.			
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**1. Participating Scientific Personnel:**

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**3. Publications:**

1. S. G. Demos, Dana M. Calistru, Scot Owen, A. B. Bykov, V. Petricevic, and R. R. Alfano, "Primary decay pathway of a local mode of a photoexcited ion into a dielectric crystal host lattice" – Physical Review Letters, 82, 2556 (1999)
2. A.B. Bykov, V. Petricevic, J. Steiner, Di Yao, L. L. Isaacs, M. R. Kakta, and R.R. Alfano, "Flux growth and characterization of Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub> crystals as a New near infrared tunable laser material," Journal of Crystal Growth, 211, 295, (2000)
3. Bing Xu, J. M. Evans, V. Petricevic, S. P. Guo, O. Maksimov, M. C. Tamargo, and R. R. Alfano, "Continuous-wave and passively mode-locked operation of a Cunyite (Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub>) laser," Applied Optics, 39, 4875 (2000)
4. C. H. Liu, Scot Owen, W. B. Wang, G. Zhang, A. B. Bykov, V. Petricevic, and R. R. Alfano, "Raman Scattering Study of Cunyite (Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub>) Laser Crystals", in the Conference Program of the 2000 OSA Annual Meeting, P 83 (2000). Presented at the 2000 OSA Annual Meeting, Oct. 22-26, 2000, Providence, Rhode Island

**4. Statement of the Problem Studied:**

Impurity ion-doped dielectric crystals have extensive applications in lasers, photodetectors, nonlinear crystals, displays, and scintillators. The performance of these devices is intrinsically correlated with their ability to transfer energy from the photoexcited impurity ion into the surrounding host. We have experimentally shown the importance of exact energy resonance between phonon and local modes in the decay pathway route of the nonradiative relaxation processes. Resonance Raman scattering and

up-converted hot luminescence measurements performed in  $\text{Cr}^{4+}:\text{Ca}_2\text{GeO}_4$  have shown that there is only one phonon-local mode pair whose exact energy resonance provides the decay channel during the initial steps of the nonradiative relaxation of the photoexcited impurity ion.

### 5. Scientific Progress and Accomplishment:

The interaction between the local modes ( $\omega_L$ ) and the phonon modes ( $\omega_P$ ) plays a crucial role in the nonradiative relaxation in crystals. The excess energy of the photoexcited impurity ion (above the zero phonon line of a given electronic state) is temporarily stored in excited local modes that decay by local (L) and phonon (P) modes. Four decay pathways between local and phonon modes are possible for a nonradiative process: (a) intramolecular local decay route  $\omega_L \rightarrow \omega_{L1} + \omega_{L2}$ ; (b) intermolecular phonon decay route  $\omega_L \rightarrow \omega_{P1} + \omega_{P2}$ ; (c) mixed local and phonon decay route  $\omega_L \rightarrow \omega_L + \omega_P$ ; and exact resonance decay route  $\omega_L \rightarrow \omega_P$  ( $\omega_L \sim \omega_P$ ). The first three decay routes are second order processes, while the last one is a first order process. The final step of the nonradiative decay is accomplished by the interaction between the accepting modes and the phonon continuum dissipating the excess energy into the lattice, returning the system to thermal equilibrium. The temporal diagram for nonradiative processes taking place in an impurity-doped crystal is shown in fig. 1. The exact energy resonance between the phonon mode at  $733 \text{ cm}^{-1}$  and the  $\text{Cr}^{4+}\text{O}_4$  tetrahedral breathing mode  $A_1$  at  $733 \text{ cm}^{-1}$  was demonstrated using off- and on-resonance Raman scattering. Up-converted hot luminescence measurements identified the accepting phonon mode at  $733 \text{ cm}^{-1}$  as the only participant in the first steps of the nonradiative relaxation of  $\text{Cr}^{4+}$  ions. These results demonstrate that in an impurity doped dielectric solid material the exact energy resonance between a local and a phonon mode determines the primary participating phonon mode in the initial steps of the nonradiative relaxation process. The local and phonon mode spectrum was investigated using on- and off-resonance Stokes Raman scattering with our Photon Image Acquisition System (PIAS). The material investigated was a highly efficient tunable Cunyite ( $\text{Cr}^{4+}:\text{Ca}_2\text{GeO}_4$ ) laser crystal, which belongs to the olivine family with lattice constants of  $a = 11.4 \text{ \AA}$ ,  $b = 6.79 \text{ \AA}$  and  $c = 5.24 \text{ \AA}$ . A heavily doped  $3 \times 5 \times 7 \text{ mm}^3$   $\text{Cr}^{4+}:\text{Ca}_2\text{GeO}_4$  single crystal, grown by a top-seeded solution growth method and containing 0.3  $\text{Cr}^{4+}$  at % wt. was used for on- and off- resonance Raman

Temporal diagram for nonradiative processes taking place in an impurity-doped crystal

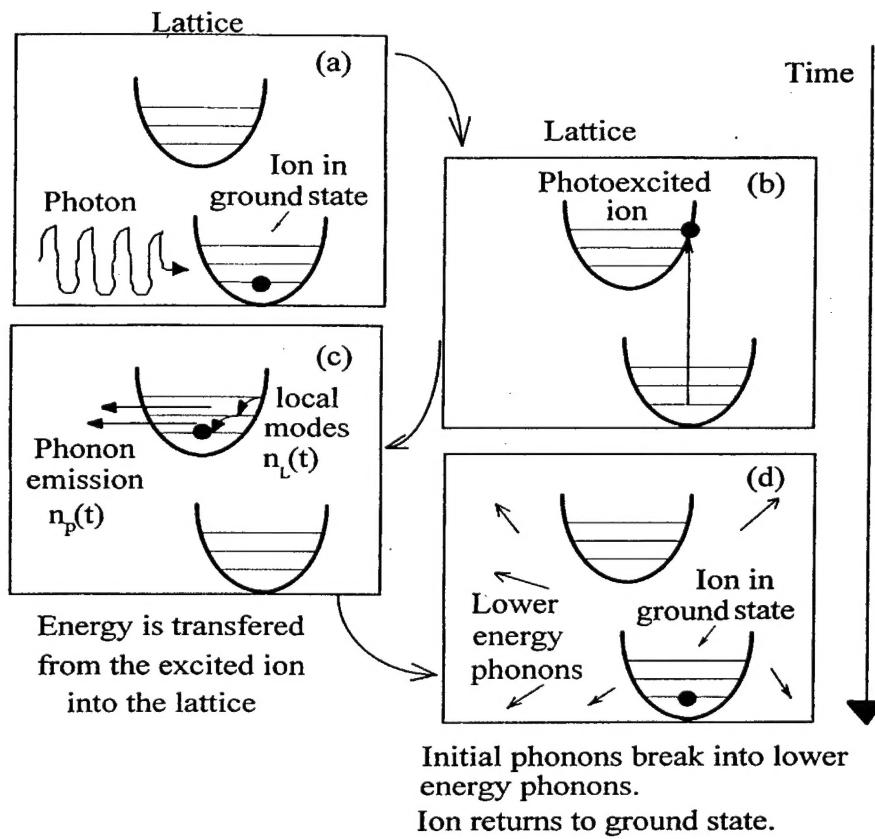


Fig. 1 Temporal diagram for nonradiative processes taking place in an impurity-doped crystal.

measurements. In addition, a 4x3x3 mm<sup>3</sup> undoped Ca<sub>2</sub>GeO<sub>4</sub> single crystal was used for Raman measurements and allowed the identification of the phonon modes of the host.

Figure 2 shows the polarized A<sub>g</sub> Raman spectra of Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub> under 632 nm (off resonance) and 621 nm (on resonance) excitations in the 550-800 cm<sup>-1</sup> region and the Raman spectrum of the undoped Ca<sub>2</sub>GeO<sub>4</sub> crystal under 621 nm excitation. The scattering configuration was y(zz)y in Porto's notation. The inset in Fig. 1 shows the room temperature absorption spectrum of the sample for E || c axis in the 500-900 nm spectral region corresponding to the <sup>3</sup>A<sub>2</sub> → <sup>3</sup>B<sub>2</sub>(<sup>3</sup>T<sub>1</sub>) dipole allowed transition. The absorption spectrum indicates that the maximum enhancement of Cr<sup>4+</sup> local modes in on-resonance Raman spectra is expected under 621 nm excitation (maximum of absorption), allowing for their observation in addition to phonon modes. On the other hand, as 532 nm excitation corresponds to minimum absorption, only phonon modes are expected in the Raman spectrum of the doped sample measured under 532 nm excitation. The off-resonance Raman spectrum of Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub>, obtained under 532 nm excitation, shows two modes located at 757 cm<sup>-1</sup> and 733 cm<sup>-1</sup> (see fig. 1), which are attributed to the (GeO<sub>4</sub>)<sup>4-</sup> tetrahedral stretching modes v<sub>3</sub> and v<sub>1</sub> respectively, by analogy with the A<sub>g</sub> Raman spectrum of Mg<sub>2</sub>SiO<sub>4</sub>. The on-resonance Raman spectrum obtained under 621 nm excitation shows a marked enhancement for the 733 cm<sup>-1</sup> mode only. The Raman spectrum of the undoped Ca<sub>2</sub>GeO<sub>4</sub> crystal, obtained under 621 nm excitation, which contains only phonon modes, is identical to the off-resonance spectrum of the doped sample. The enhancement of the 733 cm<sup>-1</sup> local modes indicates that it is the only local mode having the same energy (within spectral resolution) as the 733 cm<sup>-1</sup> phonon mode. The 757 cm<sup>-1</sup> phonon mode is not enhanced, as there is no local mode in resonance with it. The local mode at 733 cm<sup>-1</sup> was identified as the Cr<sup>4+</sup>O<sub>4</sub> tetrahedral stretching mode A<sub>1</sub>. The identification was made based on symmetry considerations and analogy with the similar local mode in Cr<sup>4+</sup>:Mg<sub>2</sub>SiO<sub>4</sub> located at 764 cm<sup>-1</sup>. The space symmetry of these local modes was inferred from the scanning arrangements y(zx)y and x(zy)x and point group symmetry tables. These results clearly indicate that there is only one local mode in exact energy resonance with a phonon mode in Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub>. Raman measurements using a spectrometer-CCD system were also performed. Figure 3 shows the Raman

**RAMAN SPECTRUM OF Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub>, E // a - Axis**

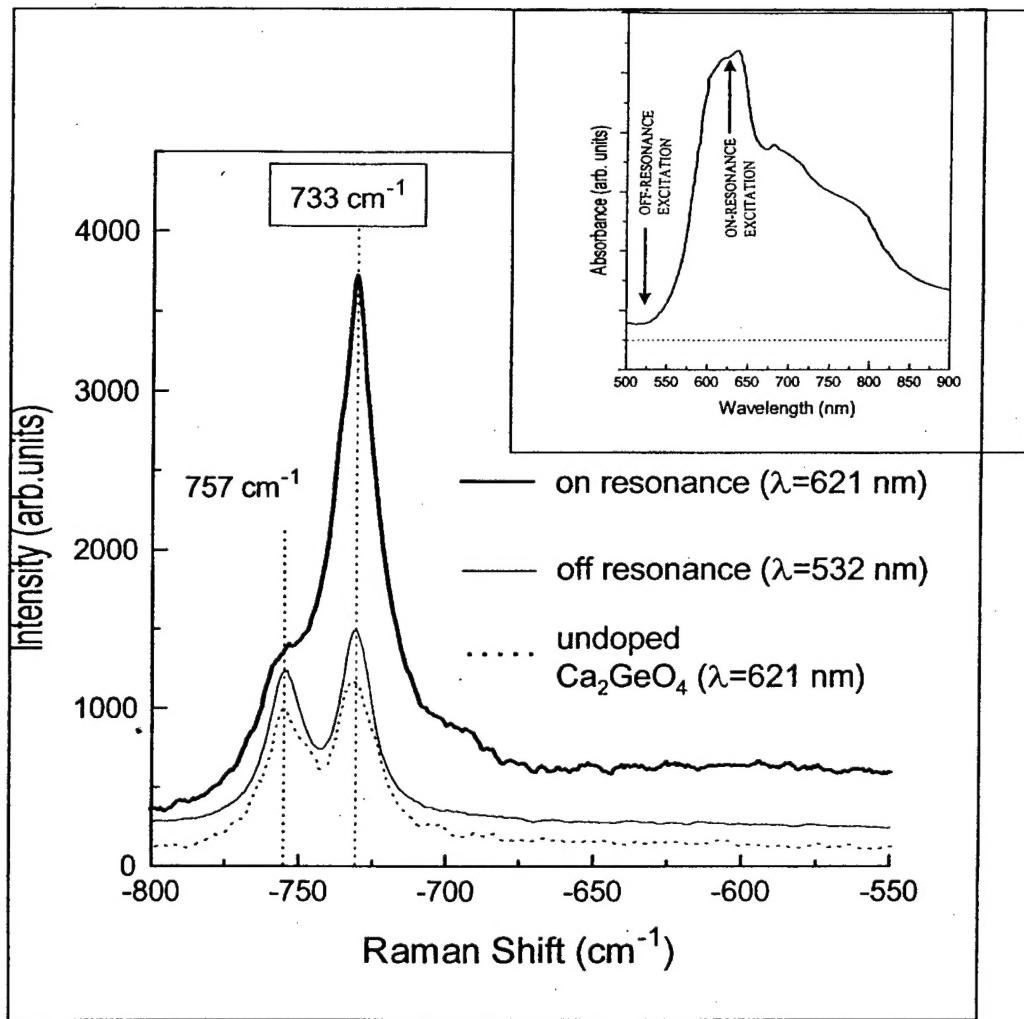


Fig. 2 Polarized resonance Raman (upper profile) and off resonance Raman (middle profile) spectra in the 550-800 cm<sup>-1</sup> spectral region under 621 nm and 532 nm excitation, respectively for Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub>. The lower profile shows the Raman spectrum of the undoped Ca<sub>2</sub>GeO<sub>4</sub> under 621 nm excitation. The scattering configuration is b(cc)b, A<sub>g</sub> symmetry. Insert shows the polarized absorption spectrum of Cr<sup>4+</sup>:Ca<sub>2</sub>GeO<sub>4</sub> for E // c-axis. Spectra were obtained with the sample held at room temperature.

spectrum using 800 nm excitation in  $A_g$  symmetry, both excitation and detection polarization directions parallel to the "b" axis ( $E \parallel b$ ). Fourteen lattice phonon modes ( $368 \text{ cm}^{-1}$ ,  $429 \text{ cm}^{-1}$ ,  $460 \text{ cm}^{-1}$ ,  $671 \text{ cm}^{-1}$ ,  $702 \text{ cm}^{-1}$ ,  $740 \text{ cm}^{-1}$ ,  $766 \text{ cm}^{-1}$ ,  $1014 \text{ cm}^{-1}$ ,  $1089 \text{ cm}^{-1}$ ,  $1139 \text{ cm}^{-1}$ ,  $1199 \text{ cm}^{-1}$ ,  $1333 \text{ cm}^{-1}$ ,  $1430 \text{ cm}^{-1}$ , and  $1656 \text{ cm}^{-1}$ ) were obtained from all of the polarized non-resonant Raman spectra of the undoped  $\text{Ca}_2\text{GeO}_4$  crystal in the wider spectral region of  $300$ - $2100 \text{ cm}^{-1}$ . Five  $\text{Cr}^{4+}$  impurity local modes ( $521 \text{ cm}^{-1}$ ,  $553 \text{ cm}^{-1}$ ,  $740 \text{ cm}^{-1}$ ,  $971 \text{ cm}^{-1}$ , and  $1038 \text{ cm}^{-1}$ ) were obtained from all of the polarized on-resonance Raman measurements of the  $\text{Cr}^{4+}$ -doped  $\text{Ca}_2\text{GeO}_4$  crystals. Figure 4 shows the on-resonance Raman spectrum obtained under 633 nm excitation in the  $E \parallel a$  direction, where there is a marked enhancement for the  $\sim 740 \text{ cm}^{-1}$  mode. The enhancement of the  $\sim 740 \text{ cm}^{-1}$  mode indicates the presence of a local mode having the same energy as the  $\sim 740 \text{ cm}^{-1}$  phonon mode. The other four  $\text{Cr}^{4+}$  impurity local modes do not coincide in energy with any lattice modes. Our results show that there is only one local mode ( $\sim 740 \text{ cm}^{-1}$ ) in exact energy resonance with a phonon mode in  $\text{Cr}^{4+}:\text{Ca}_2\text{GeO}_4$  crystals.

The up-converted hot luminescence spectroscopic technique utilizes a two-step excitation process. The first step photoexcites the impurity ions to an upper electronic state situated above the metastable level. In the second step, the photoexcited ions relax to the bottom of the metastable level, absorb another photon, and reach an excitation level of energy ( $E_{EL}$ ) given by  $E_{EL} = E_{\text{photon}} + E_{ML}$ , where  $E_{\text{photon}}$  is the energy of the absorbed photon, and  $E_{ML}$  is the energy of the metastable level. Subsequent to the two step photoexcitation, radiative and nonradiative relaxation occurs and impurity ion local modes are populated. Emission arising from radiative transitions from short-lived local modes to the ground electronic state via energy transfer to select phonon modes provides information about the energy of these "active" phonons.

The energy separation between successive peaks in the up-converted hot luminescence spectra reveals the initial "steps" in the nonradiative energy transfer from the impurity ion into the lattice allowing for identification of the participating phonon modes. In  $\text{Cr}^{4+}:\text{Ca}_2\text{GeO}_4$ , the zero phonon peak of the near-IR emission shows that the metastable level is located at  $E_{ML}=1.043 \text{ eV}$  (1189 nm). Photoexcited ions at the metastable level

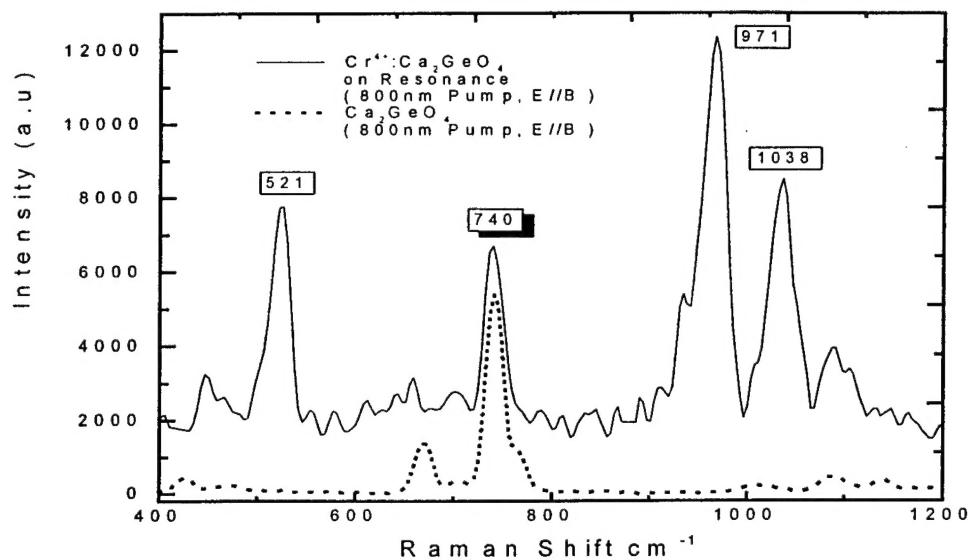


Fig.3 Resonance Raman spectrum (solid line) for doped  $\text{Cr}^{4+}:\text{Ca}_2\text{GeO}_4$  crystal and non-resonance Raman spectrum for undoped  $\text{Ca}_2\text{GeO}_4$  crystal (dot line) in  $400\text{--}1200\text{ cm}^{-1}$  with 800 nm pump, and both excitation and detection polarization directions parallel to the “b” axis ( $E \parallel b$ ).

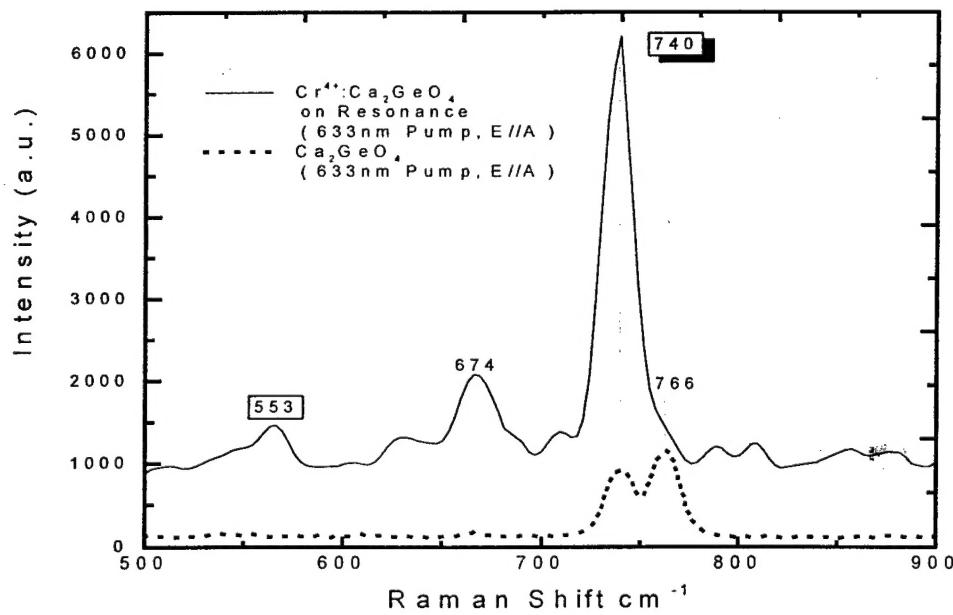


Fig.4 Resonance Raman spectrum (solid line) for doped  $\text{Cr}^{4+}:\text{Ca}_2\text{GeO}_4$  crystal and non-resonance Raman spectrum for undoped  $\text{Ca}_2\text{GeO}_4$  crystal (dot line) in  $500\text{--}900\text{ cm}^{-1}$  with 633 nm pump. Both excitation and detection polarization directions were parallel to the “a” axis ( $E \parallel a$ ).

absorb a second photon to reach an excitation level. Up-converted hot luminescence spectra obtained under different laser wavelengths are shown in Fig. 5. The lower profile was obtained under 598 nm (2.073 eV) laser excitation, which photoexcites  $\text{Cr}^{4+}$  ions to  $E_{\text{EL}} = 3.116 \pm 0.001$  eV (i.e., 2.073 eV above the metastable level). The upper profile was obtained under 588 nm (2.108 eV) laser excitation, which photoexcites  $\text{Cr}^{4+}$  ions to  $E_{\text{EL}} = 3.151 \pm 0.001$  eV (i.e., 2.108 eV above the metastable level). In both cases, three main peaks appear with the highest energy peak, positioned at  $E_{\text{EL}}$ . The salient feature displayed in Fig. 5 is the location of the secondary peaks. The secondary peaks show the energy of the phonon modes involved in the initial steps of the nonradiative relaxation, given by the energy difference between successive peaks. The measured energy of these modes in both cases is at energy  $E_{\text{phonon}} = 726 \pm 25 \text{ cm}^{-1}$ . The energy of the phonons involved in the initial steps of the nonradiative relaxation ( $E_{\text{phonon}} = 726 \pm 25 \text{ cm}^{-1}$ ) in  $\text{Cr}^{4+}:\text{Ca}_2\text{GeO}_4$  coincides with the energy of the only phonon mode (located at  $733 \text{ cm}^{-1}$ ) in resonance with a local mode of the relaxing impurity ion. The primary step in the nonradiative relaxation process is  $\omega_L \rightarrow \omega_P$ . Thus energy resonance between local and phonon modes is a key criterion in selecting the phonon modes that participate in the nonradiative relaxation.

The initiation of the nonradiative decay process leads to complete draining of the energy stored in the metastable level of the impurity lasing ion. Consequently, the physics that governs the initial steps of this process is of great importance. In laser materials, it is desirable to minimize the nonradiative relaxation in order to obtain higher laser quantum efficiency and to reduce the power requirements and cost for the photoexcitation source of the laser medium. This work suggests that this can be achieved by reducing the number of effective decay channels, that is, the number of energy resonance phonon-local mode pairs. The simplest solution to this problem is to reduce the total number of phonon and local modes. The number of local modes depends on the number of neighboring ions of the impurity optically active ion, the size of the lattice ions, and interatomic forces. In the first approximation, only first neighbors are involved in the local vibrations. However, when the force constants are large, second neighbors also participate in the local vibrations, increasing the number of local modes. This is the case in forsterite,

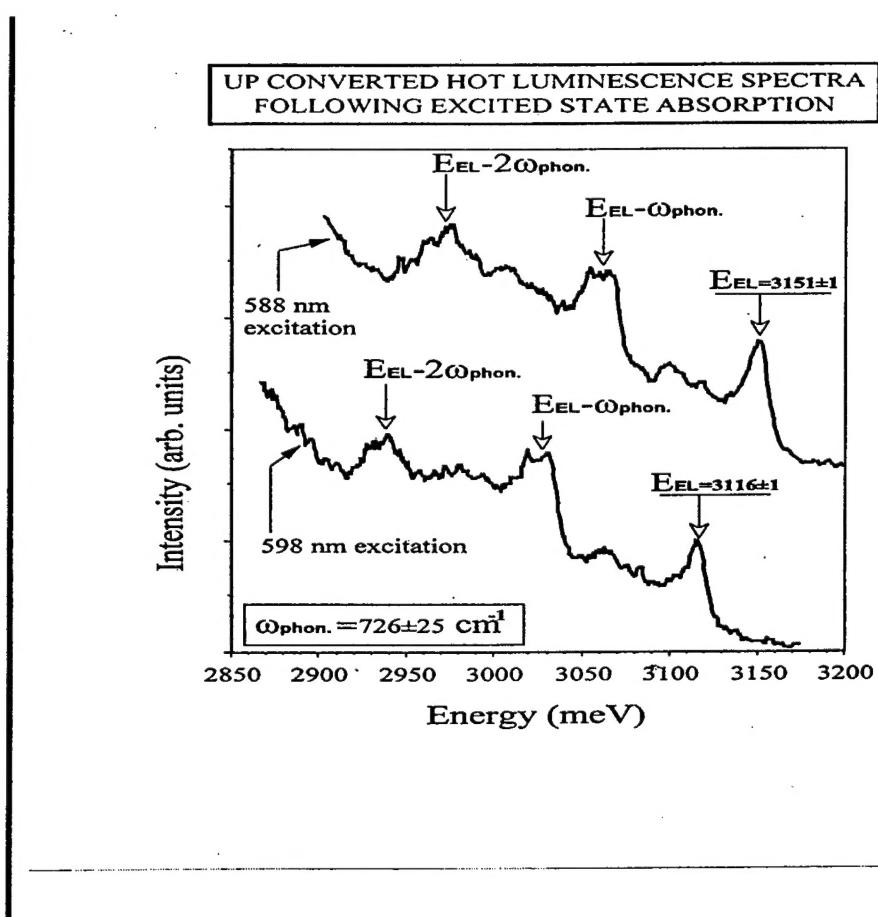


Fig. 5 Upconverted hot luminescence spectra following excited state absorption under 598 nm excitation (lower profile) and 588 nm excitation (upper profile) at liquid nitrogen temperature.

where the large number of local modes is due to the second neighbor interactions. The number of phonon modes depends on the total number of ions per unit cell; consequently, the possible number of in-resonance local-phonon modes pairs can be reduced by choosing a simple unit cell.